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## TRANSMITTAL FORM

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Total Number of Pages in This Submission	Application Number	09/954,623
	Filing Date	September 12, 2001
	First Named Inventor	Pustejovsky, James D.
	Group Art Unit	2152
	Examiner Name	Unassigned

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Remarks      The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP	Reg. No. 44,037
Signature		
Date	April 24, 2002	

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Attorney Docket No.: 019497-000710US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

James D. Pustejovsky

Application No.: 09/954,623

Filed: September 12, 2001

For: METHOD AND APPARATUS FOR  
NATURAL LANGUAGE PROCESSING  
OF ELECTRONIC MAIL

Examiner: Unassigned

Art Unit: 2152

**SECOND INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

James D. Pustejovsky  
Application No.: 09/954,623  
Page 2

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

*Patrick M. Boucher*  
Patrick M. Boucher  
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1 of

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Application Number	09/954,623
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Examiner Name	Unassigned
Attorney Docket Number	019497-000710US

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## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 5,963,940	10/5/99	Liddy et al.	
	AB	US			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
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	AJ	US-			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Number <sup>4</sup>	Country Code <sup>3</sup> Kind Code <sup>5</sup> (if known)				
	AU	EP 0 386 825		12/9/90	BSO/BURO VOOR SYSTEEMONTWIKKELING B.V.		
	AV	WO 97/08604		6/3/97	Syracuse University		
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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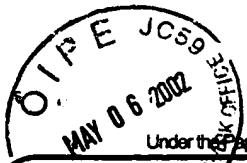
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Attorney Docket Number	019497-000710US

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### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	LI LI, et al., "Parsing Unification Categorial Grammar with Object-Oriented Knowledge," IEEE 1994, pp. 33-39	
	BD	LIDDY, ELIZABETH D., et al., "Linguistic-Conceptual Approach to Document Detection," NIST Special Publication, Gaithersburg, MD, NO. 500-207, 1993, XP-000892980, pp. 113-129	
	BE	LIDDY, ELIZABETH D., et al. "Dr.-Link System: Phase I Summary," Proceedings of the Tripster Text Program Phase I Workshop, April 1994, pp. 93-110	
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